

# Alternative Forms of Albersheim's Equation

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June 2014

## 1 Albersheim's Equation

In radar detection theory, the detection probability  $P_D$ , false alarm probability  $P_{FA}$ , number of samples  $N$  noncoherently integrated for a single detection test, and single-sample average signal-to-noise ratio (SNR)  $\chi$  are closely interrelated. A common problem is to find one of these quantities given the other three. This can be a difficult calculation. For example, in the nonfluctuating case with a linear detector, the target+noise probability density function (PDF) is Rician, a distribution which involves Bessel functions and for which the  $P_D$  calculation requires "Marcum's Q function" [1]. While these equations can be solved using modern computational tools such as MATLAB®, an excellent empirical approximation requiring only a scientific calculator known as Albersheim's equation is available for this case. Albersheim's equation relates  $P_D$ ,  $P_{FA}$ ,  $N$ , and the single-sample SNR in decibels  $\chi_{dB}$ . As usually presented, Albersheim's "equation" is the series of calculations [2],[3]

$$A = \ln\left(\frac{0.62}{P_{FA}}\right), \quad B = \ln\left(\frac{P_D}{1 - P_D}\right) \quad (1)$$

$$\chi_{dB} = -5 \log_{10} N + \left(6.2 + \frac{4.54}{\sqrt{N + 0.44}}\right) \log_{10} (A + 0.12AB + 1.7B)$$

The error in the estimated value of  $\chi_{dB}$  required to obtain specified values of  $P_D$  and  $P_{FA}$  for a specified  $N$  is stated to be less than 0.2 dB for  $P_{FA}$  from  $10^{-3}$  to  $10^{-7}$ ,  $P_D$  from 0.1 to 0.9, and  $N$  from 1 to 8096. Albersheim's equation is very useful not only for direct calculations of required SNR but also for such tasks as estimating noncoherent integration gain for the nonfluctuating case [4].<sup>1</sup>

## 2 Solving Albersheim's Equation for $P_D$ or $P_{FA}$

As stated, Albersheim's equation determines the average single-sample SNR corresponding to a specified  $P_D$ ,  $P_{FA}$ , and  $N$ . However, it can be rearranged to obtain a solution for  $P_D$  in terms of the other parameters using the following sequence of calculations:

<sup>1</sup> "Shnidman's equation" plays the same role for the Swerling target models as does Albersheim's equation for the nonfluctuating case, but is somewhat more complicated; see [1].

$$A = \ln\left(\frac{0.62}{P_{FA}}\right), \quad Z = \frac{\chi_{dB} + 5\log_{10} N}{6.2 + \frac{4.54}{\sqrt{N+0.44}}}, \quad B = \frac{10^Z - A}{1.7 + 0.12A} \quad (2)$$

$$P_D = \frac{1}{1 + e^{-B}}$$

A similar rearrangement gives  $P_{FA}$  in terms of the other parameters:

$$B = \ln\left(\frac{P_D}{1 - P_D}\right), \quad Z = \frac{\chi_{dB} + 5\log_{10} N}{6.2 + \frac{4.54}{\sqrt{N+0.44}}}, \quad A = \frac{10^Z - 1.7B}{1 + 0.12B} \quad (3)$$

$$P_{FA} = 0.62e^{-A}$$

Unfortunately, solving the standard form of Albersheim's equation for  $N$  in terms of  $P_D$ ,  $P_{FA}$  and  $\chi_{dB}$  does not appear to be possible because  $N$  appears in both logarithm and square root form.

### 3 Solving Albersheim's Equation for $N$

A method for solving Albersheim's equation for  $N$  can be developed by replacing the term  $(6.2 + 4.54/\sqrt{N+0.44})$  with an approximation that uses  $\log_{10}N$ , so that  $N$  appears in only one functional form and can be isolated. Define  $\alpha \equiv \log_{10}N$ . Then it can be seen that

$$6.2 + \frac{4.54}{\sqrt{N+0.44}} \approx -\frac{0.4125}{\alpha^2} + \frac{2.4194}{\alpha} + 5.5606 \quad (2 \leq N \leq 100) \quad (4)$$

This second-order least-squares approximation was found using the `polyfit` function in MATLAB<sup>®</sup>. Slightly different approximation coefficients result for different ranges of  $N$ . For example, using the entire range  $2 \leq N \leq 8096$  over which Albersheim's equation is applied,<sup>2</sup> the coefficients of  $\alpha^{-1}$  are  $(-0.2289, 1.8402, 5.7777)$ . Here we concentrate on the range  $2 \leq N \leq 100$ , believing that is a range of more practical interest. Figure 1 illustrates the close fit of the approximation to the Albersheim equation term in Eq. (4).

Using Eq. (4) in Eq. (1) and defining  $Z = \log_{10}(A + 0.12AB + 1.7B)$  gives<sup>3</sup>

$$\chi_{dB} = -5\alpha + Z\left(-\frac{0.4125}{\alpha^2} + \frac{2.4194}{\alpha} + 5.5606\right) \quad (5)$$

This equation can be rearranged to give a cubic equation in  $\alpha$ :

$$5\alpha^3 + (\chi_{dB} - 5.5606 \cdot Z)\alpha^2 - 2.4194 \cdot Z\alpha + 0.4125 \cdot Z = 0 \quad (6)$$

<sup>2</sup> Since the expansion is in terms of  $1/\log_{10}N$ , the  $N = 1$  term must be excluded from the least-squares fit.

<sup>3</sup> This is the same  $Z$  as in Eq. (2) or (3).

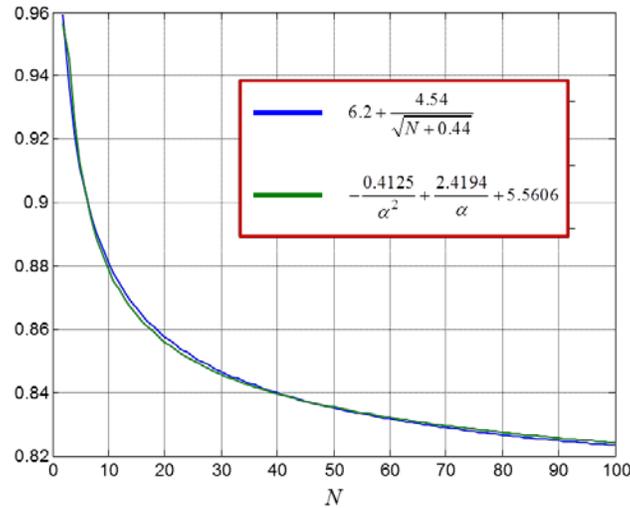


Figure 1. Comparison of Albersheim equation term and its approximation in Eq. (4).  $\alpha = \log_{10}N$ .

Specifying the values of  $P_D$ ,  $P_{FA}$  and  $\chi_{dB}$  fixes the value of  $Z$ . Equation (6) can then be solved for  $\alpha$ . Since Eq. (6) is cubic, it is not trivially solvable with a calculator for the value of  $\alpha$  and thus of  $\hat{N}$ . However, it can be solved numerically (for instance, using the `roots` function in MATLAB®). The largest real root is chosen as the desired value of  $\alpha$ . This choice is entirely *ad hoc* but appears to produce good results.  $N$  must be a positive integer, therefore the final estimate is

$$\hat{N} = \text{round}\left(\max\left(10^\alpha, 1\right)\right) \quad (7)$$

The estimate  $\hat{N}$  given in Eq. (7) can be tested by varying values of  $P_D$ ,  $P_{FA}$ , and  $N$  over a wide range within the applicability of Albersheim's equation. For each choice of these three parameters,  $\chi_{dB}$  is computed using Albersheim's equation. Using  $P_D$ ,  $P_{FA}$ , and that computed value of  $\chi_{dB}$ , Eqs. (6) and (7) are then used to compute  $\hat{N}$  and compare it to the true value of  $N$  for that case. Figure 2, for example, shows that when  $P_D = 0.9$  and  $P_{FA} = 10^{-3}$ , the estimated value of  $N$  is correct for  $3 \leq N \leq 84$ . The error is  $-1$  for  $N = 2$  (i.e.,  $\hat{N} = 1$  in this case) and  $+1$  for  $85 \leq N \leq 100$ . In fact, the magnitude of the error does not exceed 1 until  $N > 560$ . The maximum percentage error in  $\hat{N}$  for this case never exceeds 4.8% for  $N$  up to the limit of 8096, even though the approximation coefficients for only the range  $2 \leq N \leq 100$  are used in this sample.

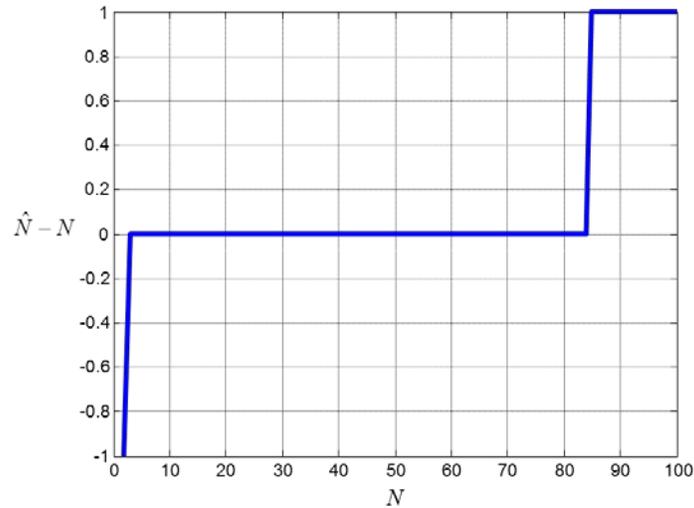


Figure 2. Error in estimate of  $N$  when  $P_D = 0.9$  and  $P_{FA} = 10^{-3}$ .

For a more complete test, this procedure was carried out as the value of  $N$  was varied from 1 to 100;  $P_D$  was varied from 0.1 to 0.9 in steps of 0.01; and  $P_{FA}$  was varied from  $10^{-7}$  to  $10^{-3}$  in 100 logarithmically-spaced steps. Over this range, the absolute value of the error in  $\hat{N}$  never exceeds 1. For  $N > 2$ , the percentage error in  $\hat{N}$  never exceeds 1.266%, which occurs when  $P_D = 0.88$ ,  $P_{FA} = 10^{-7}$ , and  $N = 79$ . (For  $N=2$  the estimated value is often  $\hat{N} = 1$ , giving a 50% error.) Figure 3 shows the variation of the absolute error in  $\hat{N}$  versus  $P_D$  and  $P_{FA}$  for  $N = 2$  and  $N = 90$ . For all of the similar plots for  $3 \leq N \leq 78$ , the error is zero for the full range of  $P_D$  and  $P_{FA}$  tested.

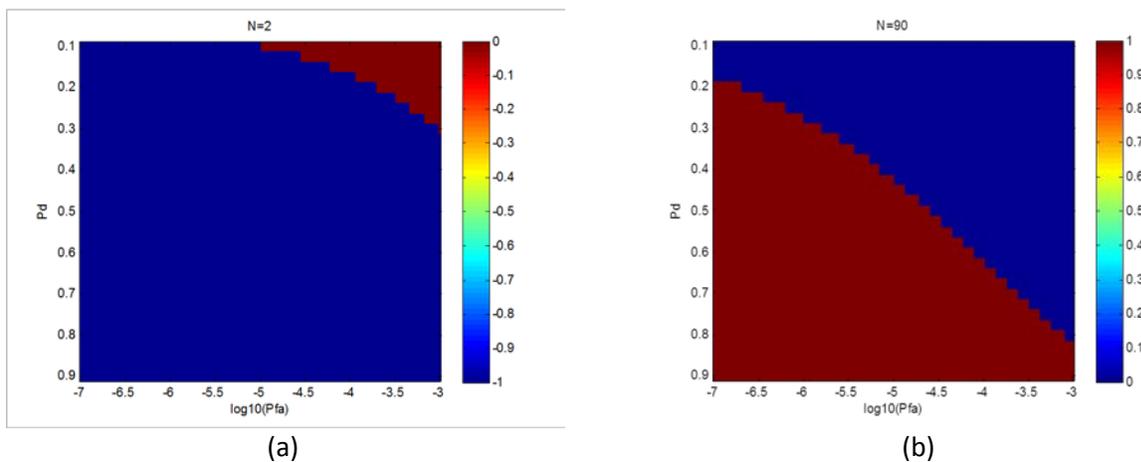


Figure 3. Absolute value of error in estimate of  $N$  vs.  $P_D$  and  $P_{FA}$ . (a)  $N = 2$ . (b)  $N = 90$ . The error is zero for all values of  $P_D$  and  $P_{FA}$  considered and  $N$  between 3 and 78.

## 4 The Largest Root

Equation (6) has three roots, possibly leading to three different estimates  $\hat{N}$  in Eq. (7). Which should be used? In general, a cubic equation with real coefficients such as Eq. (6) has either three real roots, or one real root and a pair of complex conjugate roots. In the discussion so far, the largest real root has been used in Eq. (7) to compute the estimate  $\hat{N}$ . This choice was *ad hoc* but produces good results.

A numerical search across the same dense grid of  $P_D$ ,  $P_{FA}$ , and  $N$  values used to obtain Fig. 3 showed that in practice, both cases occur: three real roots, and one real root plus two complex roots. For values of  $N$  of 3 or more, complex roots appear to occur only at the corner case of  $P_D = 0.1$  and  $P_{FA} = 10^{-3}$ . For  $N = 2$  the complex case occurs more frequently.

Explicit solutions for the roots of a cubic equation are given in [5]. These formulas could be used to compute the roots of Eq. (6) without the use of a numerical solver such as MATLAB®'s `roots`, and then the maximum real root used to compute  $\hat{N}$ . Such a solution could be implemented, if a bit tediously, on a programmable calculator. If it was possible to predict which of the three roots would be the largest, then the formula for that root would complete a straightforward, deterministic algorithm for estimating  $N$ . It is not, unfortunately, obvious how to identify *a priori* which of the three roots will be the largest positive root. This last step remains a topic for additional research. Lacking that result, either a root-finding algorithm such as `roots` or explicit calculation of all three roots using the closed-form equations in [5] must be used.

## 5 References

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- [2] Albersheim, W. J., "Closed-Form Approximation to Robertson's Detection Characteristics," *Proceedings IEEE*, vol. 69, no. 7, p. 839, July 1981.
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- [4] M. A. Richards, "Noncoherent Integration Gain, and its Approximation", technical memorandum, June 9, 2010, revised May 6, 2013. Available at [www.radarsp.com](http://www.radarsp.com).
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